



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of  
Kittaka

Serial No. 09/942,663

Examiner (unknown)

Filed August 31, 2001

Art Unit 2877

For OPTICAL DEVICE AND SPECTROSCOPIC AND POLARIZATION  
SEPARATING APPARATUS USING THE SAME

Assistant Commissioner of Patents  
Washington, D.C. 20231

RECEIVED  
AUG - 1 2002  
TECHNOLOGY CENTER 2800

## INFORMATION DISCLOSURE STATEMENT

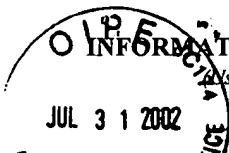
Dear Sir:

Under the provisions of 37 C.F.R. 1.97 through 1.98 and pursuant to applicant's duty of disclosure under 37 C.F.R. 1.56, applicant respectfully brings the following documents, cited in an Office Action for the corresponding Taiwanese Patent Application and listed in the attached form PTO-1449, to the attention of the Examiner in charge of the above-identified application. Copies of the listed documents are provided herewith for the convenience of the Examiner.

This citation does not constitute an admission that the references are relevant or material to the claims. They are only cited as constituting related art of which the applicant is aware.

I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

In compliance with the requirements of 37 C.F.R. 1.98(a)(3), as a concise statement of relevance, as it is presently understood by the individual designated in 35 U.S.C. 1.56(c) most knowledgeable about the content of the information, the

 <p><b>INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary)</p>	Docket Number (Optional) <b>02410252aa</b>	Application Number <b>09/942,663</b>
	Applicant(s) <b>Kittaka</b>	
	Filing Date <b>August 31, 2001</b>	Group Art Unit <b>2877</b>

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	1	6,111,697	8/29/2000	Merrill	359	497	1/13/98

**FOREIGN PATENT DOCUMENTS**

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO

**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.)

	2	Taiwan Office Action in corresponding Taiwan case

EXAMINER	DATE CONSIDERED
----------	-----------------

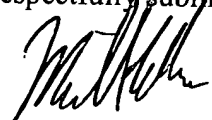
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

undersigned attorney of record submits that the relevance to the pending U.S. Patent Application is that the reference was cited in a foreign patent application on the same subject matter. However, no independent analysis of the reference, the accuracy of the statement of the foreign examiner or the claims of the foreign application under the laws of that country or the United States relative to the subject matter claimed in the present application has been made; the present understanding of the contents thereof by the undersigned being based on the foreign examiner's comments submitted herewith.

It is respectfully requested that the listed references be considered by the Examiner and be formally made of record in the application.



Respectfully submitted,

  
Michael E. Whitham  
Reg. No. 32,635